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DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

☒ Original ☐ Supplemental ☐ Substitute ☒ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SURFACE TREATMENT

which is described and claimed in:

- ☐ the attached specification.
- ☐ the specification in U.S. Application No. _____
filed _____, and as amended on _____ (if applicable).
(day/month/year) (day/month/year)
- ☒ the specification in International Application No. **PCT/GB 96/00093**
filed **18.01.96**
(day/month/year)
assigned U.S. Application No. _____ (if applicable), and as amended
☐ under PCT Article 19 on _____ (if applicable)
(day/month/year)
☒ under PCT Article 34 on **20/11/96** (if applicable)
(day/month/year)
☐ and further amended on _____ (if applicable)
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
Great Britain	9501287.8	24.01.95	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
-----------------	---------------------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION No.	FILING DATE (day/month/year)	STATUS		
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

PCT APPLICATION No. (designating the U.S.)	INTERNATIONAL FILING DATE (day/month/year)	U.S. APPLICATION No. (if any)	STATUS
			<input type="checkbox"/> Patented
			<input type="checkbox"/> Pending
			<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

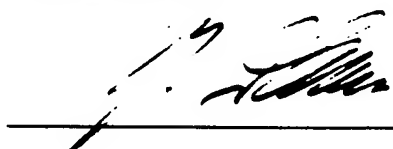
Luther A. R. Hall (Reg. No. 27,337), JoAnn L. Villamizar (Reg. No. 30,598), Kevin T. Mansfield (Reg. No. 31,635), David R. Crichton (Reg. No. 37,300), Michele A. Kovaleski (Reg. No. 37,865) and Victoria M. Malia (Reg. No. 39,359).

Address all correspondence to JoAnn L. Villamizar, Patent Department, Ciba Specialty Chemicals Corporation, 540 White Plains Road, Tarrytown, NY 10591.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole
or first joint inventor **Jochem SAUER**

Inventor's signature



Date

29/04/1997
(day/month/year)

Residence **Zürcherstrasse 8
4310 Rheinfelden
Switzerland**

Citizenship **German**

Post Office Address **same as above**

ASSIGNMENT

WHEREAS, **Ciba Specialty Chemicals Corporation**, a corporation organized and existing under the laws of the State of Delaware, having offices at 560 White Plains Road, Tarrytown, New York (hereinafter "Assignor") is the owner of all its right, title and interest in and to the United States patent applications listed on the attached and made a part hereof; and

WHEREAS, **Vantico Inc.** a Delaware Corporation, having offices at 281 Fields Lane, Brewster, N.Y. 10509 (hereinafter "Assignee") desires to acquire said interest of Assignor in said inventions and patent applications and Ciba Specialty Chemicals Corporation is willing to assign its interest therein to **Vantico Inc.**:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this

28th day of July, 2000.

Ciba Specialty Chemicals Corporation

By: 

Name: Stanley Sherman

Title: President and CEO

Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
EM	K7-19264/A	08/115,530	9/2/93
EM	KK-19600/A	08/268,094	6/28/94
FS	KK-19805/A	08/358,134	12/16/94
FS	KK-19149/A/CONT/HEX	08/391,329	2/21/95
EM	KK-18966/A/DIV/CONT	08/449,183	5/24/95
EM	KK-20281/A	08/573,767	12/18/95
EM	KK-20310/A	08/589,435	1/22/96
EM	KK-19601/A/DIV	08/652,394	5/23/96
CS	KK-20045/A/CONT/CPA 2	08/690,373	7/26/96
EM	KK-20310/A/CONT/CPA	08/820,726	3/18/97
FS	KK-20811/A/CPA	08/832,937	4/3/97
AT	KK-20307/A/MA 2104/PCT/CPA	08/860,762	1/24/95
FS	KK-20904/A/CPA	08/876,550	6/16/97
FS	K7-19597/A/PCT/CONT/CPA 2	08/893,399	7/11/97
AT	KK-21086/A/MA 2140/#2	08/947,997	10/9/97
FS	KK-19882/A/CONT/CPA	08/999,803	5/20/97
EM	KK-19614/A/CGM 429/CONT/DIV	09/002,847	1/5/98
CS	KK-20617/A/CONT/CPA 2	09/016,619	1/30/98
CS	KK-21226/A/CPA	09/019,636	2/6/98
EM	K7-21249/A	09/035,310	3/5/98
CS	KK-21254/A/CPA	09/035,312	3/5/98
AT	KK-21514/P1/CGC 1983	09/039,765	3/16/98
AT	KK-21517/P1/CGC 1984	09/050,279	3/30/98
AT	KK-21524/P1/CGC 1985	09/055,832	4/6/98
EM	K7-21313/A	09/060,688	4/15/98
CS	KK-21323/A	09/070,662	4/30/98
CS	KK-21346/A	09/075,639	5/11/98
AT	KK-21126/A/MA 2141/PCT	09/077,048	11/18/96
AT	KK-21127/A/MA 2142/PCT/CPA	09/077,049	11/18/96
EM	KK-20664/A/CGJ 91/PCT	09/077,484	11/19/96
CS	KK-21378/A	09/100,003	6/17/98
EM	KK-21375/A	09/100,005	6/17/98
EM	K7-21410/A/PCT	09/101,095	1/9/97
CS	KK-20735/A/PCT/CPA	09/101,789	1/23/97
AT	KK-21389/P1/CGC 1943	09/115,085	7/14/98
AT	KK-21390/P1/CGC 1944	09/115,086	7/14/98
CS	KK-20774/A/PCT	09/142,269	2/28/97
CS	KK-21428/A	09/177,141	10/21/98
AT	KK-21430/A/MA 2161	09/181,163	10/28/98
HA	KK-21462/P1/CGC 1952	09/190,973	11/12/98
EM	KK-20936/A/CGJ 98/PCT	09/214,053	6/17/97
AT	KK-20969/A/21022/MA 2136/PCT	09/230,172	7/14/97
CS	KK-20922/A/PCT	09/230,395	6/21/97
SC	KK-20976/A/PCT	09/230,410	7/16/97
CS	KK-21501/A	09/248,649	2/11/99

FOOTNOTES

Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
HE	KK-21506/A	09/253,162	2/19/99
SC	KK-21993/P1/CGC 2023	09/267,585	3/12/99
CS	KK-20616/A/CIP	09/298,572	4/23/99
CS	KK-21387/CIP	09/298,573	4/26/99
EM	K7-21561/A	09/303,584	5/3/99
HA	KK-21566/P1/CGC 1992	09/327,859	6/8/99
CS	KK-21585/P1/CGC 1993	09/334,085	6/16/99
AT	KK-21820/P1/CGC 1996	09/334,086	6/16/99
FS	KK-20930/A/DIV	09/376,797	8/18/99
EM	KK-22016/AO/NZ 12/PCT	09/380,449	3/7/97
FS	KK-20658/A/DIV	09/390,122	9/3/99
AT	KK-21854/A/MA 2170	09/418,609	10/15/99
CS	KK-21852/A	09/421,166	10/19/99
CS	KK-20045/A/CONT/CPA 2/DIV	09/456,961	12/7/99
EM	KK-21375/A/DIV	09/465,866	12/16/99
AT	KK-18945/A/CONT/DIV	09/483,057	1/13/00
AT	KK-21490/A/MA 2163/CIP	09/550,718	4/17/00
CS	KK-21805/A/WIT 30	09/554,811	5/19/00
EM	KK-20664/A/CGJ 91/PCT/CONT	09/567,348	5/9/00
CS	KK-21254/A/CONT/CIP	09/569,560	5/12/00

Page 1 of 1

ASSIGNMENT

I / We Jochem SAUER of 4310 Rheinfelden, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

SURFACE TREATMENT

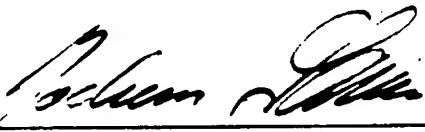
invented by me/us and described in the international application

No. PCT/GB 96/00093 filed January 18, 1996

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.

Signed on April 29, 1997



Jochem SAUER

Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
EM	K7-19264/A	08/115,530	9/2/93
EM	KK-19600/A	08/268,094	6/28/94
FS	KK-19805/A	08/358,134	12/16/94
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AT	KK-20307/A/MA 2104/PCT/CPA	08/860,762	1/24/95
FS	KK-20904/A/CPA	08/876,550	6/16/97
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CS	KK-21226/A/CPA	09/019,636	2/6/98
EM	K7-21249/A	09/035,310	3/5/98
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CS	KK-21323/A	09/070,662	4/30/98
CS	KK-21346/A	09/075,639	5/11/98
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EM	KK-20664/A/CGJ 91/PCT	09/077,484	11/19/96
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HA	KK-21462/P1/CGC 1952	09/190,973	11/12/98
EM	KK-20936/A/CGJ 98/PCT	09/214,053	6/17/97
AT	KK-20969/A/21022/MA 2136/PCT	09/230,172	7/14/97
CS	KK-20922/A/PCT	09/230,395	6/21/97
SC	KK-20976/A/PCT	09/230,410	7/16/97
CS	KK-21501/A	09/248,649	2/11/99

OFFICE OF THE COMPTROLLER

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		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
HE	KK-21506/A	09/253,162	2/19/99
SC	KK-21993/P1/CGC 2023	09/267,585	3/12/99
CS	KK-20616/A/CIP	09/298,572	4/23/99
CS	KK-21387/CIP	09/298,573	4/26/99
EM	K7-21561/A	09/303,584	5/3/99
HA	KK-21566/P1/CGC 1992	09/327,859	6/8/99
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AT	KK-21820/P1/CGC 1996	09/334,086	6/16/99
FS	KK-20930/A/DIV	09/376,797	8/18/99
EM	KK-22016/AO/NZ 12/PCT	09/380,449	3/7/97
FS	KK-20658/A/DIV	09/390,122	9/3/99
AT	KK-21854/A/MA 2170	09/418,609	10/15/99
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EM	KK-21375/A/DIV	09/465,866	12/16/99
AT	KK-18945/A/CONT/DIV	09/483,057	1/13/00
AT	KK-21490/A/MA 2163/CIP	09/550,718	4/17/00
CS	KK-21805/A/WIT 30	09/554,811	5/19/00
EM	KK-20664/A/CGJ 91/PCT/CONT	09/567,348	5/9/00
CS	KK-21254/A/CONT/CIP	09/569,560	5/12/00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: See Attached ScheduleApplication No./Patent No.: See Attached Schedule Filed/Issue Date: See Attached Schedule

Entitled: _____

VANTICO INC. a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011105, Frame 0548, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

February 22, 2001
Date

[Signature]
Signature

ALAN G. HIMMELSTEIN

Typed or printed name

VICE PRESIDENT, GENERAL COUNSEL
& SECRETARY

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Schedule of Patent Applications

Attorney Docket Number	Application Serial No.	Filing Date	Group Art Unit	Examiner	First named Inventor
261/028	09/190,973	November 12, 1998	1722	J. Del Sole	Robert John Kultzow
261/032	09/567,348	May 9, 2000	1714	T. Yoon	Ralf-Roman Rietz
261/033	09/334,086	June 16, 1999	1714	C. Shosho	Richard noel Leyden
261/037	09/483,057	January 13, 2000	NAY	Not assigned yet	Bettina Steinman
261/076	08/893,399	July 11, 1997	1771	E. Cole	H-R Aus Der Au
261/077	08/268,094	June 28, 1994	1501	R. Gulakowski	Martin Roth
261/078	08/652,394	May 23, 1996	1501	R. Gulakowski	Martin Roth
261/079	08/358,134	December 16, 1994	1712	R. Sellers	Frans Setiabudi
261/080	09/002,847	January 5, 1998	1501	D. R. Wilson	Jens Awer
261/081	09/456,961	December 7, 1999			Isabelle Frischinger
261/082	08/589,435	January 22, 1996	1501	R. Gulakowski	Christian Beisele
261/083	08/820,726	March 18, 1997	1712	R. Gulakowski	Christian Beisele
261/084	08/860,762	July 11, 1997	1733	J. Gallagher	Jochim Sauer
261/085	08/573,767	December 18, 1995	1501	R. Gulakowski	Christian Beisele
261/086	09/298,572	April 23, 1999	1712	R. Sellers	P. Guilhaume Gottis
261/088	08/832,937	April 3, 1997	1732	K. Jones	Dean T. Behm
261/089	08/876,550	June 16, 1997	1712	R. Sellers	Dean Tallak Behm
261/090	09/142,269	September 3, 1998	1712	D. Aylward	Thomas Vogel
261/091	09/230,395	January 27, 1999	1714	E. Cain	Hugh Stephen Laver
261/092	09/230,172	January 21, 1999	1733	M. Tolin	Jochem Sauer
261/093	09/214,063	December 18, 1998	1752	C. Hamilton	Yasuharu Nojima
261/095	09/569,560	May 12, 2000	1712	Not assigned yet	Jurgen Finter
261/096	09/465,866	December 16, 1999	1762	Not assigned yet	Dean Tallak Behm
261/098	09/077,049	May 18, 1998	1712	R. Sellers	Peter Durmmond
261/100	09/550,718	April 17, 2000	1762	Not assigned yet	Albert John French
261/101	09/253,162	February 2, 1999	1712	D. Aylward	Martin Roth
261/102	09/248,649	February 11, 1999	1714	P. Michl	Carstein Zilg
216/105	09/327,859	June 8, 1999	2831	A. Estrada	Robert Kultzow
216/106	09/334,085	June 16, 1999	1712	D. Aylward	Chi-Wen Frank
261/108	09/554,811	May 19, 2000	1713	Not assigned yet	Wolfgang Scherzer
261/109	09/421,166	October 19, 1999	1712	R. Lovering	Rolf Wiesendanger
261/114	09/267,585	March 12, 1999	1623	D. Khare	Bor-Sheng Lin
261/116	09/380,449	January 27, 2000	1755	Not assigned yet	Shizuo Kitahara



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 16, 2000

PTAS

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TARRYTOWN, NY 10591

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ANDREA DEGRUCHIS
UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 08/03/2000

REEL/FRAME: 011105/0548
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CIBA SPECIALTY CHEMICALS
CORPORATION

DOC DATE: 07/28/2000

ASSIGNEE:
VANTICO INC.
281 FIELDS LANE
BREWSTER, NEW YORK 10509

SERIAL NUMBER: 08115530
PATENT NUMBER:

FILING DATE: 09/02/1993
ISSUE DATE:

SERIAL NUMBER: 08268094
PATENT NUMBER:

FILING DATE: 06/28/1994
ISSUE DATE:

SERIAL NUMBER: 08358134
PATENT NUMBER:

FILING DATE: 12/16/1994
ISSUE DATE:

SERIAL NUMBER: 08391329
PATENT NUMBER: 6111015

FILING DATE: 02/21/1995
ISSUE DATE: 08/29/2000

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SERIAL NUMBER: 08449183
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FILING DATE: 05/24/1995
ISSUE DATE:

SERIAL NUMBER: 08573767
PATENT NUMBER:

FILING DATE: 12/18/1995
ISSUE DATE:

SERIAL NUMBER: 08589435
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FILING DATE: 01/22/1996 ✓
ISSUE DATE:

SERIAL NUMBER: 08652394
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FILING DATE: 05/23/1996 -
ISSUE DATE:

SERIAL NUMBER: 08690373
PATENT NUMBER: 6136922

FILING DATE: 07/26/1996
ISSUE DATE: 10/24/2000

SERIAL NUMBER: 08820726
PATENT NUMBER:

FILING DATE: 03/18/1997 ✓
ISSUE DATE:

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ISSUE DATE:

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ISSUE DATE: 06/20/2000

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FILING DATE: 05/20/1997 ✓
ISSUE DATE:

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FILING DATE: 01/30/1998 ✓
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ISSUE DATE: 09/12/2000

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ISSUE DATE: 08/15/2000

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ISSUE DATE: 07/18/2000

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ISSUE DATE: 09/19/2000

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ISSUE DATE: 10/17/2000

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PATENT NUMBER: 6093493

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ISSUE DATE: 07/25/2000

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ISSUE DATE: 08/15/2000

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ISSUE DATE:

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ISSUE DATE: 08/08/2000

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ISSUE DATE:

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PATENT NUMBER: 6107451

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ISSUE DATE: 08/22/2000

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PATENT NUMBER: 6086795

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ISSUE DATE: 07/11/2000

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PATENT NUMBER:

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ISSUE DATE:

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ISSUE DATE:

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ISSUE DATE:

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ISSUE DATE:

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ISSUE DATE:

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ISSUE DATE:

SERIAL NUMBER: 09327859
PATENT NUMBER:

FILING DATE: 06/08/1999
ISSUE DATE:

SERIAL NUMBER: 09334085
PATENT NUMBER:

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ISSUE DATE:

SERIAL NUMBER: 09334086
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FILING DATE: 06/16/1999
ISSUE DATE:

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ISSUE DATE:

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PATENT NUMBER:

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ISSUE DATE:

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PATENT NUMBER:

FILING DATE: 09/03/1999
ISSUE DATE:

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ISSUE DATE:

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FILING DATE: 10/19/1999
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SERIAL NUMBER: 09456961
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ISSUE DATE:

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PATENT NUMBER:

FILING DATE: 12/16/1999
ISSUE DATE:

SERIAL NUMBER: 09483057
PATENT NUMBER:

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ISSUE DATE:

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PATENT NUMBER:

FILING DATE: 04/17/2000
ISSUE DATE:

SERIAL NUMBER: 09554811
PATENT NUMBER:

FILING DATE: 05/19/2000
ISSUE DATE:

SERIAL NUMBER: 09567348
PATENT NUMBER:

FILING DATE: 05/09/2000 ✓
ISSUE DATE:

SERIAL NUMBER: 09569560
PATENT NUMBER:

FILING DATE: 05/12/2000 ✓
ISSUE DATE:

PEARLENE FOSTER, PARALEGAL
ASSIGNMENT DIVISION
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101486372

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ciba Specialty Chemicals Corporation
P.O. Box 2005
540 White Plains Road
Tarrytown, N.Y. 10591

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: JUL 28 2000

2. Name and address of receiving party(ies)

Name: **Vantico Inc.**
Internal Address:
Street Address: **281 Fields Lane**
City: **Brewster** State: **NY** ZIP: **10509**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s): See attached schedule of Patent Applications

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) See attached schedule of Patent Applications

Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
Internal Address: **Patent Department**
P.O. Box 2005
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: **65**

7. Total fee (37 CFR 3.41).....\$2,600.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Crichton

David R. Crichton

JUL 31 2000

Name of Person Signing
Reg. No. 37,300

Signature

5

Date

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27 6/97

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/860,762✓	07/11/97✓	1304	\$910.00	AT/K-20307/A	0	8	1

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Applicant(s)

JOCHEM SAUER, RHEINFELDEN, SWITZERLAND.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A 371 OF PCT/GB96/00093 01/18/96

FOREIGN/PCT APPLICATIONS-UNITED KINGDOM

9501287.8

01/24/95

TITLE

SURFACE TREATMENT

PRELIMINARY CLASS: 148

AT/K-20307/A/MA 2104/PCT

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